



## Bis-zirconium and bis-hafnium catalysts for the strong activation of carbonyl substrates

Hideo Hanawa,<sup>a</sup> Satoshi Kii,<sup>b</sup> Naoki Asao<sup>a</sup> and Keiji Maruoka<sup>a,b,\*</sup>

<sup>a</sup>Department of Chemistry, Graduate School of Science, Kyoto University, Kyoto 606-8502, Japan

<sup>b</sup>Department of Chemistry, Graduate School of Science, Hokkaido University, Sapporo 060-0810, Japan

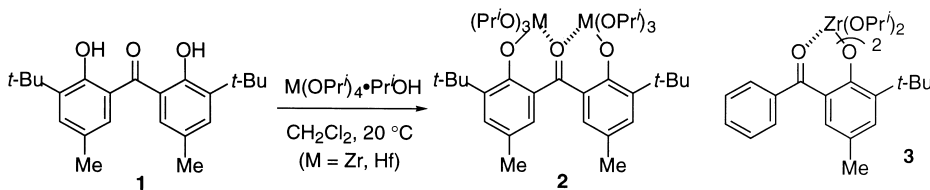
Received 1 May 2000; accepted 9 May 2000

### Abstract

(3,3'-Di-*t*-butyl-5,5'-dimethylbenzophenone-2,2'-dioxy)bis(triisopropoxyzirconium) and its hafnium derivative can be successfully utilized in organic synthesis as bimetallic Lewis acid catalysts. The high activation ability of such catalysts toward carbonyls is emphasized using several synthetic examples including the asymmetric allylation in comparison with the corresponding mono-Zr as well as bis-Ti catalysts. © 2000 Elsevier Science Ltd. All rights reserved.

**Keywords:** allylation; aldol reactions; asymmetric reactions; zirconium and compounds.

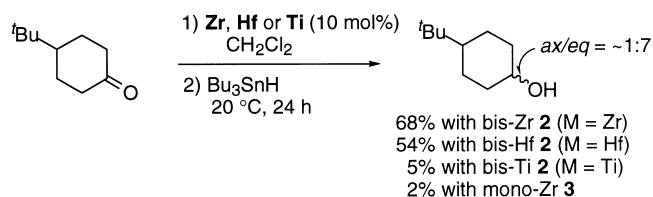
Our recent publication on the simultaneous coordination and double activation phenomena of carbonyl oxygens by bis-titanium reagent as a bidentate Lewis acid catalyst prompted us to examine the chemical reactivity of a homologous series of Ti such as Zr and Hf.<sup>1,2</sup> The requisite bis-Zr catalyst **2** (M = Zr) can be prepared by mixing 3,3'-di-*t*-butyl-2,2'-dihydroxy-5,5'-dimethylbenzophenone (**1**) with Zr(OPr<sup>*i*</sup>)<sub>4</sub>·Pr<sup>*i*</sup>OH (2 equiv.) (Scheme 1) in a manner similar to that of the corresponding bis-Ti catalyst as described previously.<sup>1</sup> The corresponding mono-Zr catalyst **3** was obtainable by a simple mixing of 3-*t*-butyl-2-hydroxy-5-methylbenzophenone and Zr(OPr<sup>*i*</sup>)<sub>4</sub>·Pr<sup>*i*</sup>OH.<sup>3</sup>



Scheme 1.

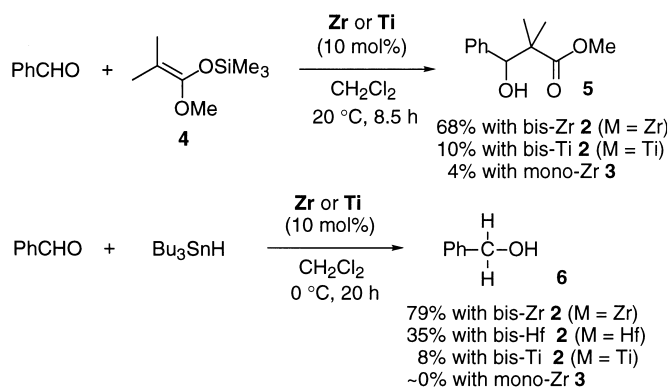
\* Corresponding author. Tel/fax: +00 81 75 753 4041; e-mail: maruoka@kuchem.kyoto-u.ac.jp

Complexation of 4-*tert*-butylcyclohexanone with the in situ-generated bis-Zr catalyst **2** (M = Zr, 10 mol%) in CH<sub>2</sub>Cl<sub>2</sub> and subsequent treatment of Bu<sub>3</sub>SnH (1.1 equiv.) at 0°C for 5 min and at 20°C for 24 h afforded 4-*tert*-butylcyclohexanol in 68% yield (Scheme 2). Bis-Hf catalyst **2** (M = Hf) showed to be less reactive in this reduction (54%). In contrast, the corresponding bis-Ti catalyst (10 mol%) dramatically lowered the yield of the alcohol product (5%). Further, reduction of 4-*tert*-butylcyclohexanone with mono-Zr catalyst **3** (10 mol%) under otherwise similar reduction conditions gave 4-*tert*-butylcyclohexanol in only 2% yield. These results clearly demonstrate that the bis-Zr catalyst **2** strongly enhances the reactivity of ketone carbonyl toward hydride transfer via the strong electrophilic activation of carbonyl moiety.



Scheme 2.

A similar difference of reactivity among bis-Zr **2** (M = Zr) and the corresponding bis-Hf and bis-Ti catalysts **2** (M = Hf, Ti) is observed in the aldol reaction and reduction of aldehydes. Thus, reaction of benzaldehyde with ketene silyl acetal **4** or Bu<sub>3</sub>SnH (1.1 equiv. each) in the presence of catalytic bis-Zr **2** (M = Zr, 10 mol%) proceeded smoothly to furnish the corresponding aldol **5** or alcohol **6** in 68–79% yields, respectively, though its Ti counterpart gave only 8–10% yields of the products under similar conditions (Scheme 3).

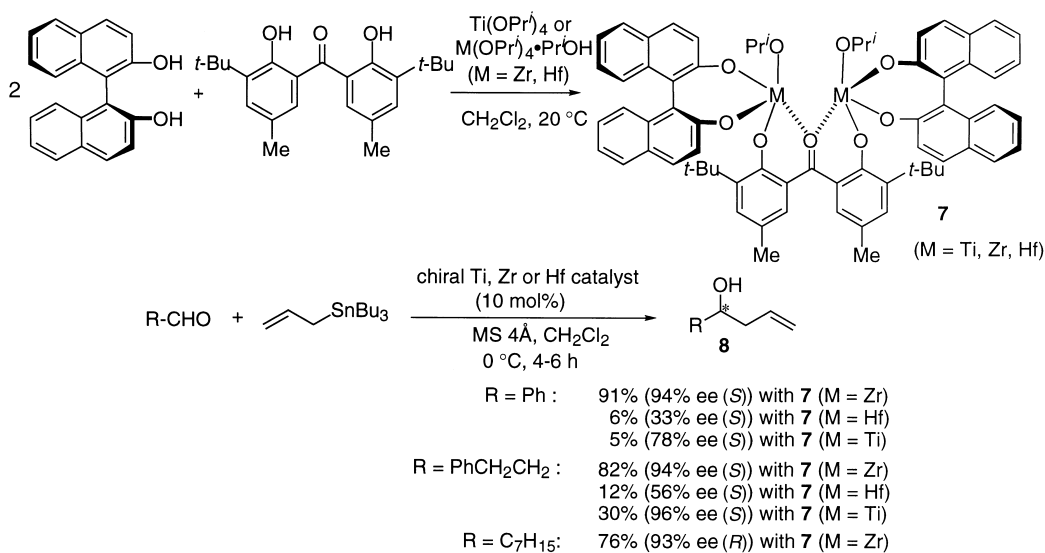


Scheme 3.

The activation phenomena of carbonyl moiety by bis-Zr catalyst **2** (M = Zr) was obtained by <sup>13</sup>C NMR spectroscopy using DMF as a carbonyl substrate. Thus, the 75 MHz <sup>13</sup>C NMR measurement of the 1:1 Zr(OPr<sup>*t*</sup>)<sub>4</sub>:DMF complex and the 1:1 mono-Zr **3**:DMF complex in CDCl<sub>3</sub> at 20°C showed that the original signal of DMF carbonyl at δ 162.42 shifted slightly to δ 162.87 and 162.64, respectively. These results imply the feeble Lewis acidity of Zr(OPr<sup>*t*</sup>)<sub>4</sub> and mono-Zr **3**. In contrast, 1:1 bis-Zr **2** (M = Zr):DMF chelation complex under similar conditions undergoes a

further downfield shift for the DMF carbonyl ( $\delta$  163.30), implying the strong electrophilic activation of the DMF carbonyl.

The present approach is applicable to the enantioselective allylation of aldehydes with chiral bis-Zr or bis-Hf catalyst of type **7**,<sup>4-6</sup> which is conveniently prepared by mixing (*S*)-binaphthol (2 equiv.) with  $M(\text{OPr}^i)_4\text{-Pr}^i\text{OH}$  (2 equiv.  $M = \text{Zr, Hf}$ ) in  $\text{CH}_2\text{Cl}_2$  at  $20^\circ\text{C}$  for 1 h, and subsequent treatment with 3,3'-di-*t*-butyl-2,2'-dihydroxy-5,5'-dimethylbenzophenone (**1**) (1 equiv.) at  $20^\circ\text{C}$  for 2 h (Scheme 4). Thus, treatment of benzaldehyde with allyltributyltin (1.1 equiv.) in  $\text{CH}_2\text{Cl}_2$  under the influence of catalytic bis-Zr **7** ( $M = \text{Zr}$ , 10 mol%) at  $0^\circ\text{C}$  for 5 h gave homoallylic alcohol **8** with high chemical yield and enantioselectivity (91% yield with 94% ee), while replacement of bis-Zr **7** ( $M = \text{Zr}$ ) by bis-Hf **7** ( $M = \text{Hf}$ ) under the otherwise identical reaction conditions dramatically lowered both reactivity and selectivity in the asymmetric allylation (6% yield, 33% ee).<sup>7</sup> Notably, use of chiral Ti catalyst **7** ( $M = \text{Ti}$ ) also showed the unsatisfactory result particularly in reactivity (only 5% yield with 78% ee). A similar tendency is observed in the asymmetric allylation of hydrocinnamaldehyde.



Scheme 4.

## Acknowledgements

This work was supported by a Grant-in-Aid for Scientific Research on Priority Areas (No. 706: Dynamic Control of Stereochemistry) from the Ministry of Education, Science, Sports and Culture, Japan.

## References

- Asao, N.; Kii, S.; Hanawa, H.; Maruoka, K. *Tetrahedron Lett.* **1998**, *39*, 3729. For similar bidentate Lewis acid chemistry, see: (a) Ooi, T.; Takahashi, M.; Maruoka, K. *J. Am. Chem. Soc.* **1996**, *118*, 11307. (b) Ooi, T.; Tayama, E.; Takahashi, M.; Maruoka, K. *Tetrahedron Lett.* **1997**, *38*, 7403. (c) Asao, N.; Liu, P.; Maruoka, K. *Angew.*

- Chem., Int. Ed. Engl.* **1997**, *36*, 2507. (d) Ooi, T.; Saito, A.; Maruoka, K. *Tetrahedron Lett.* **1998**, *39*, 3745. (e) Ooi, T.; Miura, T.; Maruoka, K. *Angew. Chem., Int. Ed. Engl.* **1998**, *37*, 2347.
- For reviews of Lewis acid chemistry, see: (a) Shambayati, S.; Schreiber, S. L. In *Comprehensive Organic Synthesis*; Trost, B. M.; Fleming, I.; Paquette, L. A., Eds.; Pergamon Press: New York, 1991; Vol. 1, Chapter 1.10, p. 283. (b) Santelli, M.; Pons, J.-M. *Lewis Acids and Selectivity in Organic Synthesis*; CRC Press: Boca Raton, 1995.
  - In the synthesis of a mono-Zr reagent, 2 equiv. of 3-*t*-butyl-2-hydroxy-5-methylbenzophenone is incorporated, whether 0.5 or 1 equiv. of Zr(OPr<sup>*i*</sup>)<sub>4</sub>-Pr<sup>*i*</sup>OH is present, to furnish fully-coordinated **3** as a sole product, which still has a coordination ability toward carbonyl substrates by ligand exchange as ascertained by <sup>13</sup>C NMR analysis. For similar argument, see Ref 1.
  - Asymmetric stoichiometric allylations: Allenylboranes: (a) Brown, H. C.; Jadhav, P. K. *J. Am. Chem. Soc.* **1983**, *105*, 2092. (b) Short, R. P.; Masamune, S. *J. Am. Chem. Soc.* **1989**, *111*, 1892. (c) Racherla, U. S.; Brown, H. C. *J. Org. Chem.* **1991**, *56*, 401. Allylboronates: (d) Herold, T.; Hoffmann, R. W. *Angew. Chem., Int. Ed. Engl.* **1978**, *17*, 768. (e) Hoffmann, R. W.; Herold, T. *Chem. Ber.* **1981**, *114*, 375. (f) Roush, W. R.; Walts, A. E.; Hoong, L. K. *J. Am. Chem. Soc.* **1985**, *107*, 8186. (g) Roush, W. R.; Banfi, L. *J. Am. Chem. Soc.* **1988**, *110*, 3979. (h) Roush, W. R.; Hoong, L. K.; Palmer, M. A. J.; Park, J. C. *J. Org. Chem.* **1990**, *55*, 4109. See also: (i) Reetz, M. T.; Zierke, T. *Chem. Ind.* **1988**, 663. Allylboradiazolidines: (j) Corey, E. J.; Yu, C.-M.; Kim, S. S. *J. Am. Chem. Soc.* **1989**, *111*, 5495. Allyltitanates: (k) Riediker, M.; Duthaler, R. O. *Angew. Chem., Int. Ed. Engl.* **1989**, *28*, 494. (l) Schmidt, B.; Seebach, D. *Angew. Chem., Int. Ed. Engl.* **1991**, *30*, 99. (m) Hafner, A.; Duthaler, R. O.; Marti, R.; Rihs, G.; Rothe-Streit, P.; Schwarzenbach, F. *J. Am. Chem. Soc.* **1992**, *114*, 2321. Allylaluminum derivatives: (n) Minowa, N.; Mukaiyama, T. *Bull. Chem. Soc. Jpn.* **1987**, *60*, 3697. Allylstannanes: (o) Otera, J.; Kawasaki, Y.; Mizuno, H.; Shimizu, Y. *Chem. Lett.* **1983**, 1529. (p) Otera, J.; Yoshinaga, Y.; Yamaji, T.; Yoshioka, T.; Kawasaki, Y. *Organometallics* **1985**, *4*, 1213. (q) Boldrini, G. P.; Tagliavini, E.; Trombini, C.; Umani-Ronchi, A. *J. Chem. Soc., Chem. Commun.* **1986**, 685. (r) Boldrini, G. P.; Lodi, L.; Tagliavini, E.; Tarasco, C.; Trombini, C.; Umani-Ronchi, A. *J. Org. Chem.* **1987**, *52*, 5447. (s) Boga, C.; Savoia, D.; Tagliavini, E.; Trombini, C.; Umani-Ronchi, A. *J. Organomet. Chem.* **1988**, *353*, 177.
  - Asymmetric catalytic allylations: CAB complex: (a) Furuta, K.; Mouri, M.; Yamamoto, H. *Synlett* **1991**, 561. (b) Marshall, J. A.; Tang, Y. *Synlett* **1992**, 653. (c) Ishihara, K.; Mouri, M.; Gao, Q.; Maruyama, T.; Furuta, K.; Yamamoto, H. *J. Am. Chem. Soc.* **1993**, *115*, 11490. BINOL/Ti(IV) complex: (d) Aoki, S.; Mikami, K.; Terada, M.; Nakai, T. *Tetrahedron* **1993**, *49*, 1783. (e) Costa, A. L.; Piazza, M. G.; Tagliavini, E.; Trombini, C.; Umani-Ronchi, A. *J. Am. Chem. Soc.* **1993**, *115*, 7001. (f) Keck, G. E.; Tarbet, K. H.; Geraci, L. S. *J. Am. Chem. Soc.* **1993**, *115*, 8467. (g) Keck, G. E.; Geraci, L. S. *Tetrahedron Lett.* **1993**, *34*, 7872. (h) Keck, G. E.; Krishnamurthy, D.; Grier, M. C. *J. Org. Chem.* **1993**, *58*, 6543. (i) Keck, G. E.; Krishnamurthy, D.; Chen, X. *Tetrahedron Lett.* **1994**, *35*, 8323. (j) Faller, J. W.; Sams, D. W.; Liu, X. *J. Am. Chem. Soc.* **1996**, *118*, 1217. (k) Gauthier Jr., D. R.; Carreira, E. M. *Angew. Chem., Int. Ed. Engl.* **1996**, *35*, 2363. (l) Weigand, S.; Brückner, R. *Chem. Eur. J.* **1996**, *2*, 1077. (m) Yu, C.-M.; Choi, H.-S.; Jung, W.-H.; Lee, S.-S. *Tetrahedron Lett.* **1996**, *37*, 7095. (n) Yu, C.-M.; Choi, H.-S.; Jung, W.-H.; Kim, H.-J.; Shin, J. *Chem. Commun.* **1997**, 761. (o) Yu, C.-M.; Choi, H.-S.; Yoon, S.-K.; Jung, W.-H. *Synlett* **1997**, 889. BINAP/AgOTf complex: (p) Yanagisawa, A.; Nakashima, H.; Ishiba, A.; Yamamoto, H. *J. Am. Chem. Soc.* **1996**, *118*, 4723. Bis(oxazoline)/Zn(II) complex: (q) Cozzi, P. G.; Orioli, P.; Tagliavini, E.; Umani-Ronchi, A. *Tetrahedron Lett.* **1997**, *38*, 145. Bis(oxazoline)/Rh(II) complex: (r) Motoyama, Y.; Narusawa, H.; Nishiyama, H. *J. Chem. Soc., Chem. Commun.* **1999**, 131.
  - Asymmetric catalytic allylations with chiral Zr catalysts: Bedeschi, P.; Casolari, S.; Costa, A. L.; Tagliavini, E.; Umani-Ronchi, A. *Tetrahedron Lett.* **1995**, *36*, 7897.
  - The corresponding chiral mono-Zr catalyst was prepared by simply mixing of 3-*t*-butyl-2-hydroxy-5-methylbenzophenone, (*S*)-binaphthol, and Zr(OPr<sup>*i*</sup>)<sub>4</sub>-Pr<sup>*i*</sup>OH in an equimolar ratio in CH<sub>2</sub>Cl<sub>2</sub>.